

Solving the haplotyping problem with linear programming

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The ultimate goal: understand ecosystems



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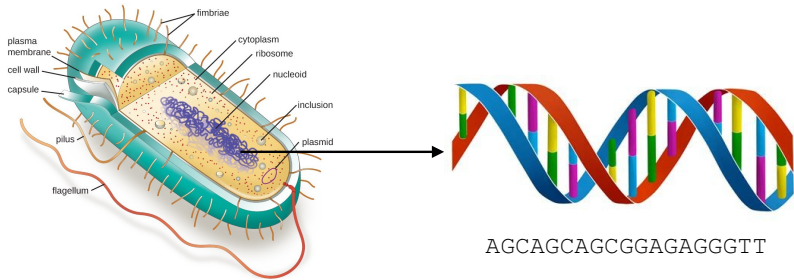


The ultimate goal: understand ecosystems



► Genome sequencing

Biology reminder



- ▶ DNA is the instruction manual of the bacteria
- ▶ Typically 5Mb long

Studying an ecosystem through sequencing

Ecosystem

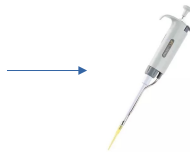


Studying an ecosystem through sequencing

Ecosystem



DNA extraction



Studying an ecosystem through sequencing

Ecosystem



DNA extraction



Sequencing



Studying an ecosystem through sequencing

Ecosystem



DNA extraction



Sequencing



CGTAGCTAGGAT
GTGCTAATCACGT AAAGCTAATCACTT
TGCGAGCGATCAG TGTCTGAAACCACA
CTCTGGGGTGACA

Long reads

Studying an ecosystem through sequencing

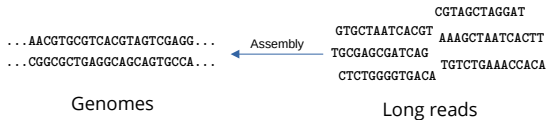
Ecosystem



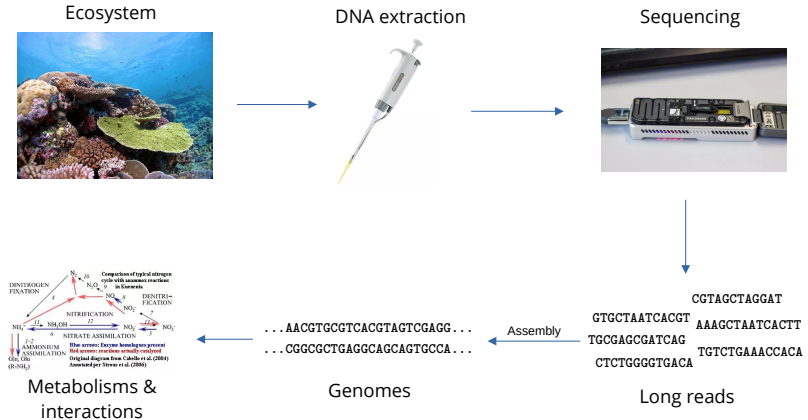
DNA extraction



Sequencing

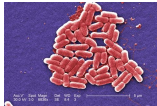


Studying an ecosystem through sequencing

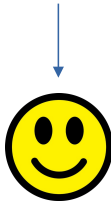


Strains are important

Escherichia coli K12



...ACGCTGAGGCAGCATGTGCCA...



Escherichia coli Sakai

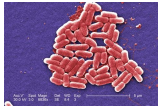


...GCGCTGAGGCAGCATGTGCCA...

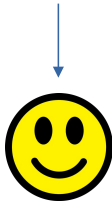


Strains are important

Escherichia coli K12



...ACGCTGAGGCAGCATGTGCCA...



Escherichia coli Sakai

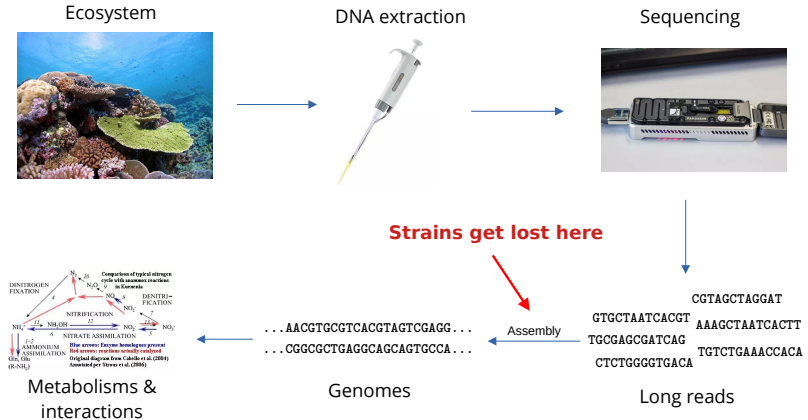


...GCGCTGAGGCAGCATGTGCGA...

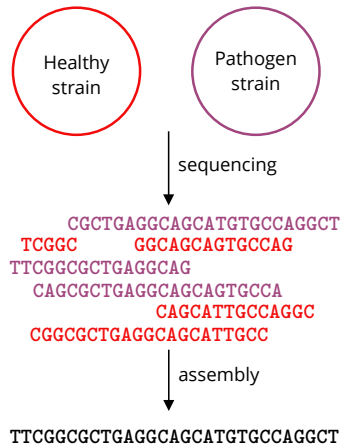


- “Knowledge gaps remain: strain diversity at a microscale and between species” R. Caldwell et al., doi.org/10.1016/j.mib.2022.102222, 2022

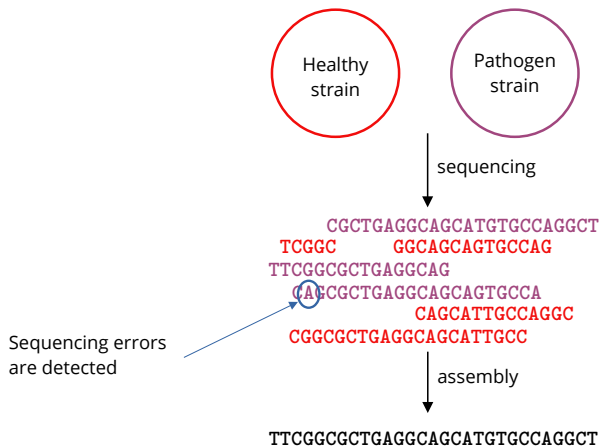
Problem: differentiating strains



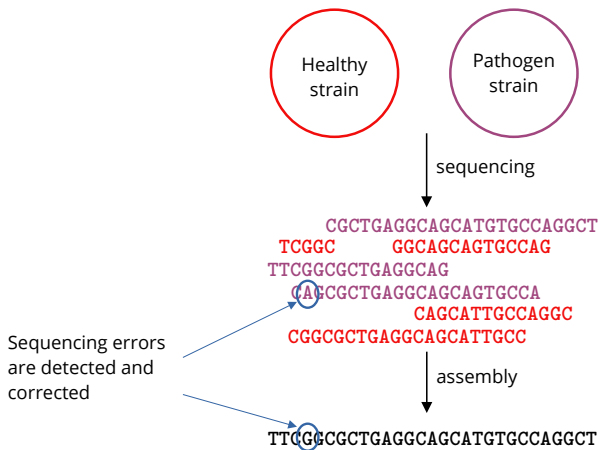
Problem: differentiating strains



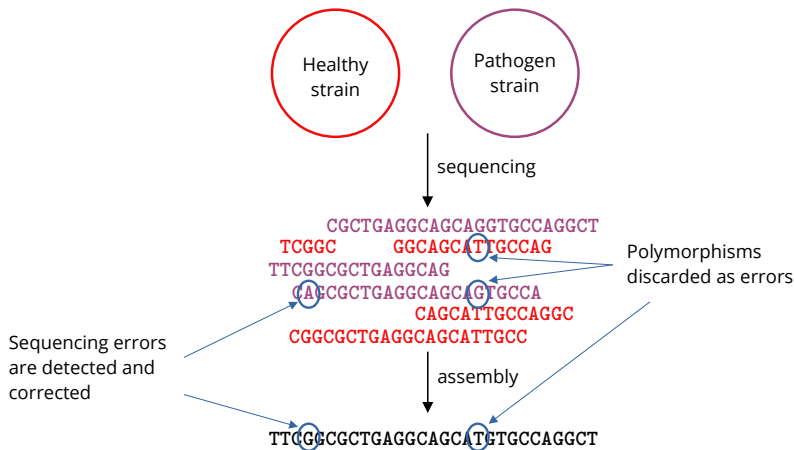
Problem: differentiating strains



Problem: differentiating strains

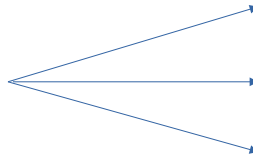


Problem: differentiating strains



Goal

```
AAC TGTG TCCCT-TAGAGCGATTTCGCGAGCGTA
AACGGTGTCCCTATGGAGCG--TCGCGACCGTA
AACTGTGTCCCTATAGAGCGATACGCGACCGTA
AACTGTGTCCCT-TAGAGCGATTTCGCGAGCGTA
AACGGTGTCCCTATAGAGCGATTTCGCGACCGTA
AACGGTGTCCCTATAGAGCGATTTCGCGACCGTA
AACTGTGACCCCTATAGAGCGATACGCGACCGTA
AACTGTGTCCCT-TAGAGCGATTTCGCAAGCGTA
AACTGCGTCCCTATAGAGCGATACGCGACCGTA
```



Input: All reads and the draft assembly
Output: Reads split into groups

```
AAC TGTG TCCCT-TAGAGCGATTTCGCGAGCGTA
AAC TGTG TCCCT-TAGAGCGATTTCGCGAGCGTA
AAC TGTG TCCCT-TAGAGCGATTTCGCAAGCGTA
```

```
AACGGTGTCCCTATGGAGCG--TCGCGACCGTA
AACGGTGTCCCTATAGAGCGATTTCGCGACCGTA
AACGGTGTCCCTATAGAGCGATTTCGCGACCGTA
```

```
AAC TGC GTCCCTATAGAGCGATACGCGACCGTA
AAC TGTG ACCCTATAGAGCGATACGCGACCGTA
AAC TGTG TCCCTATAGAGCGATACGCGACCGTA
```

Differentiating errors from signal

```
r1 AACAAAGATAGACAAGATAGACACAGATTGGCGTTTAGGAACAGATGATAGATAGCA
r2 AACAAAGATAGACGAGATAGACACAGCTTGGCGTTTAGGAACAGATGATAGATAGCA
r3 AACAAAGATAGACAAGATAGACACAGCTTGGCGTTTAGTAACAGATGACAGATAGCA
r4 AACAAAGATCGACGAGATAGACACATCTTGGCGTTTAGGAACATTTGACAGATAGCA
r5 AACAAAGATCGACAAGATAGGCACATATTGGCGTTTAGGAACAGTTGATAGATAGCA
r6 AACAAAGATCGACGAGATAGACACATATTGGCGTTTAGGATCAGTTGACAGATAGCA
```

Differentiating errors from signal

```
r1 AACAAAGATAGACAAGATAGACACAGATTGGCGTTTAGGAACAGATGATAGATAGCA
r2 AACAAAGATAGACGAGATAGACACAGCTTGGCGTTTAGGAACAGATGATAGATAGCA
r3 AACAAAGATAGACAAGATAGACACACCTTGGCGTTTAGTAACAGATGACAGATAGCA
r4 AACAAAGATCGACGAGATAGACACATCTTGGCGTTTAGGAACATTTGACAGATAGCA
r5 AACAAAGATCGACAAGATAGGCACATATTGGCGTTTAGGAACAGTTGATAGATAGCA
r6 AACAAAGATCGACGAGATAGACACATATTGGCGTTTAGGATTCACTTGACAGATAGCA
```

The model

pos 1 pos 2 pos 3 pos 4 pos 5 pos 6

r1 AACAAAGATAGACAAGATAGACACAGATTGGCGTTTAGGAACAGATGATAGATAGCA
r2 AACAAAGATAGAC**G**AGATAGACACAG**C**TTGGCGTTTAGGAACAGATGATAGATAGCA
r3 AACAAAGATAGACAAGATAGACACAG**C**TTGGCGTTTAG**T**AACAGATGACAGATAGCA
r4 AACAAAGAT**C**GAC**G**AGATAGACACAT**T**CTTGGCGTTTAGGAACAT**TT**TGACAGATAGCA
r5 AACAAAGAT**C**GACAAGATAG**G**CACAT**T**ATTGGCGTTTAGGAACAG**TT**TGATAGATAGCA
r6 AACAAAGAT**C**GAC**G**AGATAGACACAT**T**ATTGGCGTTTAGGAT**T**CAG**T**TGACAGATAGCA

Positions with high
diversity are selected

The model

pos 1 pos 2 pos 3 pos 4 pos 5 pos 6

```

r1 AACAAAGATAGACAAGATAGACACAGATTGGCGTTTAGGAACAGATGATAGATAGCA
r2 AACAAAGATAGACGAGATAGACACAGCTTGGCGTTTAGGAACAGATGATAGATAGCA
r3 AACAAAGATAGACAAGATAGACACAGCTTGGCGTTTAGTAACAGATGACAGATAGCA
r4 AACAAAGATCGACGAGATAGACACATCTTGGCGTTTAGGAACATTTGACAGATAGCA
r5 AACAAAGATCGACAAGATAGGCACATATTGGCGTTTAGGAACAGTTGATAGATAGCA
r6 AACAAAGATCGACGAGATAGACACATATTGGCGTTTAGGATCAGTTGACAGATAGCA
  
```

↓
Positions with high
diversity are selected

	1	2	3	4	5	6
read 1	1	1	1	1	1	0
read 6	0	0	0	1	0	1
read 3	1	1	1	0	1	1
read 4	0	0	0	0	0	1
read 5	0	1	0	1	0	0
read 2	1	0	1	0	1	0

(A)

The model

pos 1 pos 2 pos 3 pos 4 pos 5 pos 6

```

r1 AACAAAGATAGACAAGATAGACACAGATTGGCGTTTAGGAACACAGATGATAGATAGCA
r2 AACAAAGATAGACGAGATAGACACAGCTTGGCGTTTAGGAACACAGATGATAGATAGCA
r3 AACAAAGATAGACAAGATAGACACAGCTTGGCGTTTAGTAACACAGATGACAGATAGCA
r4 AACAAAGATCGACGAGATAGACACATCTTGGCGTTTAGGAACATTGTGACAGATAGCA
r5 AACAAAGATCGACAAGATAGGCACATATTGGCGTTTAGGAACAGTTGTATAGATAGCA
r6 AACAAAGATCGACGAGATAGACACATATTGGCGTTTAGGATTCAGTTGACAGATAGCA
  
```

Positions with high
diversity are selected

	1	2	3	4	5	6
read 1	1	1	1	1	1	0
read 6	0	0	0	1	0	1
read 3	1	1	1	0	1	1
read 4	0	0	0	0	0	1
read 5	0	1	0	1	0	0
read 2	1	0	1	0	1	0

(A)

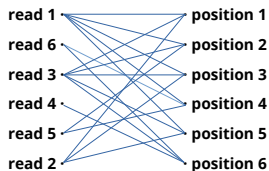
Biggest submatrix
of 0s is extracted

	1	3	5	2	4	6	
read 1	1	1	1	1	1	0	} Haplotype 2
read 3	1	1	1	0	0	0	
read 2	1	1	1	1	0	1	
read 6	0	0	0	0	1	1	} Haplotype 1
read 4	0	0	0	1	0	0	
read 5	0	0	0	0	1	1	

From the matrix to the graph

	1	2	3	4	5	6
read 1	1	1	1	1	1	0
read 6	0	0	0	1	0	1
read 3	1	1	1	0	1	1
read 4	0	0	0	0	0	1
read 5	0	1	0	1	0	0
read 2	1	0	1	0	1	0

(A)



From the matrix to the graph

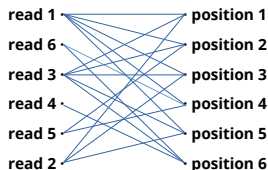
	1	2	3	4	5	6
read 1	1	1	1	1	1	0
read 6	0	0	0	1	0	1
read 3	1	1	1	0	1	1
read 4	0	0	0	0	0	1
read 5	0	1	0	1	0	0
read 2	1	0	1	0	1	0

(A)

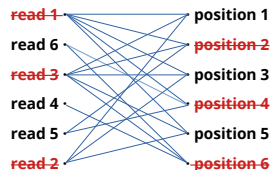
Biggest submatrix
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	1	3	5	2	4	6
read 1	1	1	1	1	1	0
read 3	1	1	1	0	0	0
read 2	1	1	1	1	0	1
read 6	0	0	0	0	1	1
read 4	0	0	0	1	0	0
read 5	0	0	0	0	1	1



Minimum cover
vertex problem



Formulation of the constraints

$$\begin{aligned} \min \quad & \sum_{i \in U \cup V} \deg(i) v_i \\ & v_i + v_j \geq 1, \quad \forall (i, j) \in E \\ & v_i \in \{0, 1\}, \quad \forall i \in U \cup V \end{aligned}$$

Weighted minimal vertex cover problem

$$\begin{aligned} \min \quad & \sum_{i \in U \cup V} \deg(i) v_i \\ & 1 - v_i \geq c_{ij}, \quad \forall i \in U, \forall j \in V \\ & 1 - v_j \geq c_{ij}, \quad \forall i \in U, \forall j \in V \\ & 1 - v_i - v_j \leq c_{ij}, \quad \forall i \in U, \forall j \in V \\ & \epsilon \times \sum_{i \in U} \sum_{j \in V} (1 - A_{i,j}) c_{ij} \geq \sum_{i \in U} \sum_{j \in V} A_{i,j} c_{ij} \\ & v_i \in \{0, 1\}, c_{ij} \in \{0, 1\} \quad \forall i \in U \cup V \end{aligned}$$

Weighted minimal vertex cover problem, tolerating an ϵ ratio of 1 in the submatrix of 0s

Formulation of the constraints

$$\begin{aligned} \min \quad & \sum_{i \in U \cup V} \deg(i) v_i \\ & v_i + v_j \geq 1, \quad \forall (i, j) \in E \\ & v_i \in \{0, 1\}, \quad \forall i \in U \cup V \end{aligned}$$

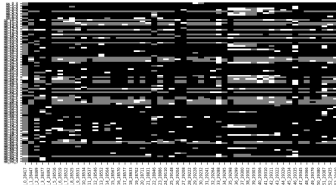
Weighted minimal vertex cover problem

$$\begin{aligned} \min \quad & \sum_{i \in U \cup V} \deg(i) v_i \\ & 1 - v_i \geq c_{ij}, \quad \forall i \in U, \forall j \in V \\ & 1 - v_j \geq c_{ij}, \quad \forall i \in U, \forall j \in V \\ & 1 - v_i - v_j \leq c_{ij}, \quad \forall i \in U, \forall j \in V \\ & \epsilon \times \sum_{i \in U} \sum_{j \in V} (1 - A_{i,j}) c_{ij} \geq \sum_{i \in U} \sum_{j \in V} A_{i,j} c_{ij} \\ & v_i \in \{0, 1\}, c_{ij} \in \{0, 1\} \quad \forall i \in U \cup V \end{aligned}$$

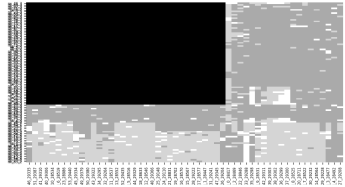
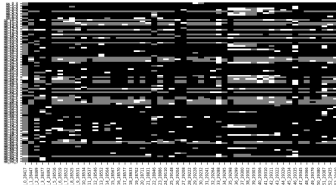
Weighted minimal vertex cover problem, tolerating an ϵ ratio of 1 in the submatrix of 0s

► Run through Gurobi Solver

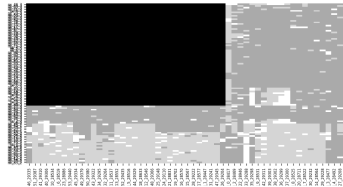
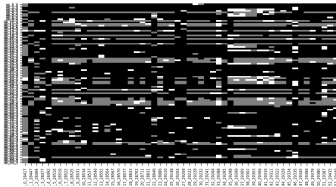
Result on a mix of 3 *E. coli*



Result on a mix of 3 *E. coli*

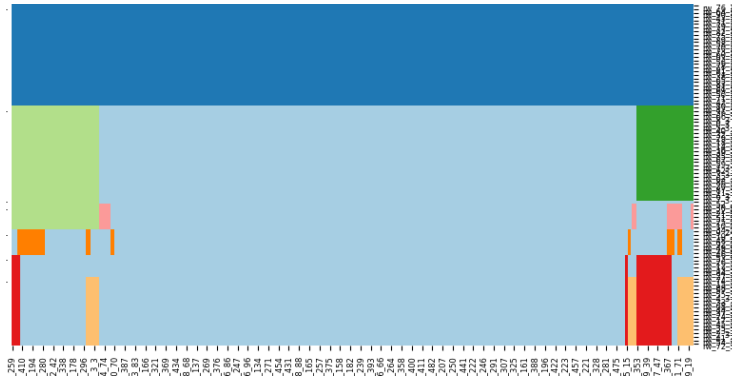


Result on a mix of 3 *E. coli*



- ▶ Successfully separated two haplotypes from the reference
- ▶ Some false negative but no false positive

Separating all the haplotypes



- ▶ Iteratively apply the method on remaining matrix
- ▶ The difficult part is knowing when to stop separating

Conclusion

- ▶ This is the first formulation of the haplotyping problem as a linear programming problem
- ▶ Not yet competitive with existing heuristics

Acknowledgments

- ▶ Tam K.M. Truong (implementation), Rumen Andonov (supervision) and Dominique Lavenier (original idea)

